

## City of London Investment Group PLC

### Statement on Slavery and Human Trafficking

#### Introduction

This anti-slavery statement is made pursuant to Section 54(1) of the Modern Slavery Act 2015 (“the “Act”) and has been adopted by City of London Investment Group PLC (“CLIG”), including its subsidiaries and associated entities, as its Statement on Slavery and Human Trafficking (“the Statement”) for the financial year ending 30 June 2022. CLIG recognizes that the Act creates offences with respect to slavery, servitude, forced or compulsory labour and human trafficking. This Statement describes the systems and controls which prevent modern slavery from taking place within CLIG and in its supply chain.

#### CLIG

CLIG a UK listed holding company whose two primary subsidiaries are investment management companies engaged in providing investment management services to its clients. CLIG operates for the mutual benefit of its three key stakeholders: clients, employees and shareholders. CLIG is committed to combatting all such forms of modern slavery and conducting business in a fair, honest and ethical manner.

#### Controls in Place within CLIG

CLIG employees are subject to a wide range of policies and a Code of Ethics. The policies and Code of Ethics function to limit the risk of modern slavery in the workplace and require all staff to work ethically. CLIG also maintains a whistleblowing policy to provide a mechanism for employees to raise any concerns they have in the workplace and for those concerns to be investigated.

#### Supply Chain Controls in Place

CLIG will not support or do business knowingly with a company involved in slavery or human trafficking. Given the nature of CLIG’s business, the risk of modern slavery in the supply chain is low. CLIG nonetheless takes steps to prevent suppliers, agents, consultants and contractors throughout the supply chain from engaging in modern slavery. Supplier’s typically operate under operations and information technology contracts. The supplier management framework includes an assessment that the supplier complies with the Act.

#### Annual Review

This statement is reviewed and published annually and was last approved by the Board on 17 November 2021.

#### Conclusion

CLIG has reviewed its business and supply chain and neither CLIG nor, to the extent that can reasonably be determined, any supplier in the supply chain make use of any form of modern slavery.

#### **Tom Griffith**

Chief Executive Officer  
City of London Investment Group plc